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JCS67 U.S. PTO

Practitioner's Docket No. 789\_048 NP

PATENT

## NEW APPLICATION TRANSMITTAL

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on September 28, 2000 under "EXPRESS MAIL" mailing label number EL692672735US.

  
Elizabeth A. VanAntwerpJCS67 U.S. PTO  
09/28/00  
09/28/00

Transmitted herewith for filing is the patent application of:

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**Title:** PIEZOELECTRIC/ELECTROSTRICTIVE DEVICE AND METHOD OF  
MANUFACTURING SAME

**1. Papers enclosed****A. Required for filing date under 37 CFR 1.53(b) (Regular) are:**

103 Page(s) of Specification  
19 Page(s) of Claims  
1 Page(s) of Abstract  
53 Sheets of Formal Drawings (Figs. 1-53)

**B. Other Papers Enclosed**

☐ A Preliminary Amendment  
☒ An Information Disclosure Statement and Identification of Copending Applications  
☒ PTO Form-1449

**2. Additional Papers to Follow Under Separate Cover**

☒ A combined Declaration and Power of Attorney  
☒ An Assignment Transmittal and Assignment of the invention to  
NGK Insulators, Ltd.

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### 3. Relate Back

#### A. 35 U.S.C. 119(e)

☒ Priority of provisional application Serial No. 60/204,702, filed May 16, 2000, is hereby claimed under 35 U.S.C. §119(e).

#### B. 35 U.S.C. 120, 121 and 365(c)

☒ Priority of U.S. Patent Application Serial No. 09/524,042, filed March 13, 2000, is hereby claimed under 35 U.S.C. §120.

#### C. 35 U.S.C. 119 Priority Claim for Prior Application

☒ Priority of foreign application(s) is hereby claimed under 35 U.S.C. §119 as follows:

Japanese Application 11-281522 filed October 1, 1999;  
 Japanese Application 11-307844 filed October 28, 1999;  
 Japanese Application 11-326195 filed November 16, 1999;  
 Japanese Application 11-371967 filed December 27, 1999;  
 Japanese Application 2000-013576 filed January 21, 2000;  
 Japanese Application 2000-015123 filed January 24, 2000;  
 Japanese Application 2000-056434 filed March 1, 2000; and  
 Japanese Application 2000-133012 filed May 1, 2000.

☒ Certified copies of the above corresponding foreign applications are filed herewith.

### 4. ☒ Amend the specification by inserting after the title and before the first line the sentence:

#### --Cross Reference to Related Applications

Reference is made to and priority is claimed from U.S. Provisional Application Serial No. 60/204,702 filed May 16, 2000 and U.S. Patent Application Serial No. 09/524,042 filed March 13, 2000.--

### 5. Small Entity Status

☐ A statement that this filing is by a small entity is attached.

☐ A separate refund request accompanies this paper.

☐ was filed on \_\_\_\_\_ (original).

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## 5. The filing fee has been calculated as shown below:

- A. Filing Fee  
☒ Original Patent Application \$ 690.00  
(37 C.F.R. 1.16(a) --\$690.00; Small Entity--\$345.00)
- B. Fees for Claims (67 Claims; 5 Indep.)  
☒ each independent claim in excess of 3 \$ 156.00  
(37 C.F.R. 1.16(b)--\$78.00; small entity--\$39.00)  
☒ each claim in excess of 20 \$ 846.00  
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)  
☐ multiple dependent claim(s) \$ .00  
(37 C.F.R. 1.16(d)--\$260.00; small entity--\$130.00)
- C. Surcharge fees  
☒ late payment of filing fee \$ 130.00  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

and/or

- ☒ late filing of original declaration or oath \$ .00  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).

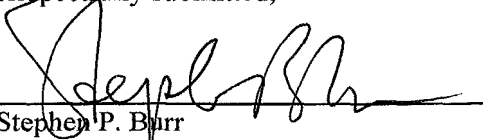
- D. ☒ Assignment Fee \$ 40.00

**Total Fees Due \$ 1,862.00**

## 6. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

- ☐ A check in the amount of \_\_\_\_\_ is enclosed.  
☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.  
☐ Any additional filing fees required under 37 CFR 1.16.  
☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
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September 28, 2000

Date

SPB/eav

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